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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	olication No. Applicant(s)			
		10/710,946	CHISTYAKOV, ROMAN			
Office Action	on Summary	Examiner	Art Unit			
		KOURTNEY R. SALZMAN	1795			
The MAILING DA Period for Reply	ATE of this communication ap	pears on the cover sheet with the c	orrespondence address			
A SHORTENED STATI WHICHEVER IS LONG - Extensions of time may be ave after SIX (6) MONTHS from the If NO period for reply is specification Failure to reply within the set of	SER, FROM THE MAILING D ailable under the provisions of 37 CFR 1. e mailing date of this communication. ed above, the maximum statutory period or extended period for reply will, by statut- te later than three months after the mailing	AY IS SET TO EXPIRE 3 MONTH(DATE OF THIS COMMUNICATION 136(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from e, cause the application to become ABANDONE and this communication, even if timely filed	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).			
Status						
1) Responsive to co	ommunication(s) filed on <u>09 (</u>	October 2009.				
2a)☐ This action is FIN	This action is FINAL . 2b)⊠ This action is non-final.					
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closed in accorda	ance with the practice under i	Ex parte Quayle, 1935 C.D. 11, 45	3 O.G. 213.			
Disposition of Claims						
4a) Of the above 5) ☐ Claim(s) is 6) ☑ Claim(s) <u>1, 4-22,</u> 7) ☐ Claim(s) is	45 and 46 is/are rejected.	wn from consideration.				
Application Papers						
10) ☐ The drawing(s) file Applicant may not Replacement draw	request that any objection to the ing sheet(s) including the correct	er. cepted or b) objected to by the lead of the lead	e 37 CFR 1.85(a). lected to. See 37 CFR 1.121(d).			
Priority under 35 U.S.C. §	119					
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 						
Attachment(s)	(PTO 000)	» —	(770 (40)			
 Notice of References Cited Notice of Draftsperson's Pa Information Disclosure Stat Paper No(s)/Mail Date Octo 	atent Drawing Review (PTO-948) ement(s) (PTO/SB/08)	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	nte			

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DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on October 9, 2009 has been entered.

Summary

- 2. The amendments to the claims filed with the RCE has been entered and fully considered.
- 3. Claims 1, 12-13 and 45 are currently amended. Claims 23-44 are cancelled.
- 4. Claims 1, 4-22, 45 and 46 remain pending and have been fully considered.
- 5. Please note that the incorrect status identifier is present on claim 46 and the claim is actually "Previously Presented" as it was submitted "New" in the amendment filed December 25, 2008.

Claim Rejections - 35 USC § 112

- 6. The following is a quotation of the first paragraph of 35 U.S.C. 112:
 - The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
- 7. Claim 12 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which

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was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. It is unclear where the support in the specification can be found for the terminology added in the most recent amendment. More specifically, the phrasing that the "feed gas without generating an arc discharge" does not have explicit support in the specification. No support is cited in the remarks for any of the amendments let alone those in claim 12.

Claim Objections

- 8. Claims 4-22 are objected to because of the following informalities: The preamble of independent claim 1, "An I-PVD source...", is different from that of the dependent claims requiring "The plasma source...". Please make the preambles clearer.

 Appropriate correction is required.
- 9. Claim 46 is objected to because of the following informalities: The preamble of independent claim 45, "An I-PVD source...", is different from that of the dependent claims requiring "The plasma source...". Please make the preambles clearer.

 Appropriate correction is required.

Claim Rejections - 35 USC § 103

- 10. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
- 11. Claims 1, 5, 8-10, 12-16, 18, 22 and 45 are rejected under 35 U.S.C. 103(a) as being unpatentable over BOYS (US 4,761,218), in view of HAAG et al (US 6,093,293) and KOUZNETSOV (US 6,296,742).

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Regarding claims 1 and 4-22 and the method of operation of the sputtering apparatus, the examiner would like to direct attention to MPEP 2114 which states the manner of operation of the device does not differentiate an apparatus claim from the prior art.

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BOYS teaches a chamber for sputtering in column 3, lines 50-54. BOYS continues to teach a plurality of anode segments (3, 4 and 5) and a plurality of cathode segments (or target segments, 6 and 7) in figure 3, arranged concentrically. The anode segments are adjacent to the cathode segments and vice versa. BOYS teaches in column 1, line 68 - column 2, line 4 and column 4, lines 17-20, for the target or cathode segments to be electrically separated or isolated from each other. In column 4, lines 20-33, BOYS teaches each target segment to be independently operated. BOYS also teaches the sputtering of magnetic material (c. 4, l. 1-4), most often metals, which would cause the metal ion from the target or cathode to be present in the plasma.

BOYS fails to teach the use of a switch and a common power supply for the cathode segments during operation. BOYS teaches the cathode segments to each respond to an independent power sources, not one, but still allows for each power source to control application relative to the other. (c. 3, I. 48-53)

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HAAG et al teaches segmented cathode and anode pieces, as shown in figures 1-3. The power supply and switch are present in the generator. The generators also feature time modulation feature, which operates as the switch, specifically modulating the traveling wave. (c. 7, lines 35-40) The switch electrical input takes the form of the power generated in the generator. The switch is then has multiple outputs to the cathode, or target arrangements, as shown in figure 1. (c.7, lines 45-48, 52-54) The power supply outputs are distributed to the switch then the cathodes using the time modulation, which allow the output to be "pulsed DC signals, or DC generators with intermediate generator output". (c.7, l.15-21) The time modulators are capable of generating a plasma train of voltages, through the time modulation controller.

At the time of invention, it would have been obvious to one of ordinary skill in the art to apply the voltage of one generator, or power source, to multiple cathodes at one time, as in HAAG et al, instead of applying voltage to each cathode individually, as in BOYS, because both allow for similar control over the plasma itself resulting in the ability to control the distribution of plasma deposition over the surface of the workpiece. (BOYS c. 4, l. 36-39, HAAG c. 7, l. 28-35) The orientation of HAAG et al where the cathodes can be operated independently of each other or together also allows for more flexibility than BOYS.

Regarding the new limitation of claim 1, it would be obvious to manipulate the modulation of the magnetron via switch and or power supply in order to increase the generation of metal ions or flux in order to increase deposition time because faster deposition would allow for faster manufacturing capabilities to name one advantage. However, KOUZNETSOV also teaches in column 4, lines 17-43 for the change in pulse time (or rise and or fall time) allows for faster increases in power, increasing the rate of ionization of the gas or the generation of metal ions. It would have been obvious to one of ordinary skill in the art to utilize the pulsed magnetron operation of KOUZNETSOV on the apparatus of BOYS and HAAG et al because KOUZNETSOV explicitly teaches maximizes the methods of use to increase ionization and in turn deposition.

Regarding claim 5, in conjunction with the previous rejection of claim 1, the cathode segments are called target segments within HAAG et al, clearly anticipating the claimed sputtering target material.

Regarding claim 8, in conjunction with the previous rejection of claim 1, HAAG et al does teach, in figure 4, the location of 3 cathode segments, yet doesn't require more of a cathode arrangement, or symmetric arrangement as shown.

Therefore, what is shown is that the magnetron cathode can function as the cathode segments are placed in a unique vertical plane, or an asymmetrical plane perpendicular to the substrate, as disclosed in the instant application. The

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cathode segments of BOYS could also be of different thicknesses causing the lower portion of each to operate on an independent orientation.

At the time of invention, it would have been obvious to place the cathode segments in a unique horizontal orientation for deposition, since HAAG et al shows that the positioning of the cathode segments in a unique vertical orientation can yield successful, predictable deposition. With the independent control of each cathode segment, ignition to create plasmas of different depths would allow the plasma to continue aiding in the deposition process, not only making the outcome plausible, but predictably successful.

Regarding claim 9, in conjunction with the previous rejection of claim 1, HAAG et al shows, in figure 4, the location of 3 cathode segments, yet doesn't require more of a cathode arrangement, or symmetric arrangement as shown.

Therefore, what is shown is that the magnetron cathode can function as the cathode segments which are placed in a unique vertical plane, or an asymmetrical plane perpendicular to the substrate, as disclosed in the instant application.

Regarding claim 10, in conjunction with the previous rejection of claim 1, while HAAG et al does not explicitly state the size of the cathode segments should be uniform in size, by not showing any complete magnetron with all the segments,

as in figure 4, it implicitly teaches, the sizing is irrelevant. Each cathode is powered separately, creating its own plasma, only the magnets which control the plasma should be approximately the size of the cathode segments. Since this correlation is industry standard, the magnet arrangement shown in figure 7 indicates that the cathode segments could be of approximately sized to correspond with the three different magnet sizes of Z'1, Z'2 and Z'3.

Regarding claims 12-15, in conjunction with the previous rejection of claim 1, the apparatus necessary for the functionality of these claims is present in HAAG et al. The generator taught therein is able to deploy voltage pulses based on any modulation to function in any programmed pattern, complete with amplitude modulation (c.7, I.48-51) as the "invention allows very high flexibility for electrically operating the individual target arrangements 3". (c. 7, I. 66 - c.8, I. 5) Regarding claim 12, KOUZNETSOV teaches against the formation of forming arc discharges with pulsed operation and the decreased likelihood of doing so in column 4, lines 48-59.

Regarding claim 16, in conjunction with the previous rejection of claim 1, the description of HAAG et al, figure 7 states "at least two permanent magnet drums 43 are preferably provided on each of the target arrangements 3". (c. 9, l. 48-51) The two are shown proximate to each other in figure 7 itself.

Regarding claim 18, in conjunction with the previous rejection of claim 1, in column 9, lines 1-4, HAAG et al states there are gas attachments for the addition of a "working gas such as argon and/or with a reactive gas".

Regarding claim 22, in conjunction with the previous rejection of claim 1, the time modulating function of HAAG et al, as discussed in the rejection of claim 1, functions to control the pulse sequencing as a controller would function.

Regarding claim 45, BOYS teaches a chamber for sputtering in column 3, lines 50-54. BOYS continues to teach a plurality of anode segments (3, 4 and 5) and a plurality of cathode segments (or target segments, 6 and 7) in figure 3, arranged concentrically. The anode segments are adjacent to the cathode segments and vice versa. BOYS teaches in column 1, line 68 - column 2, line 4 and column 4, lines 17-20, for the target or cathode segments to be electrically separated or isolated from each other. In column 4, lines 20-33, BOYS teaches each target segment to be independently operated. BOYS also teaches the sputtering of magnetic material (c. 4, l. 1-4), most often metals, which would cause the metal ion from the target or cathode to be present in the plasma.

Regarding BOYS fails to teach the use of a switch and a common power supply for the cathode segments during operation.

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HAAG et al teaches a magnetron sputtering source comprising a process chamber, as shown in figures 1-3, as reference number 10, connected with valves for to feed gases into the chamber, as seen in figure 7 and stated in column 7, lines 1-5. The generators provide the means for generating pulses, as discussed in c. 7, l. 47-49. The generators also apply the means for applying both the first, second and subsequent pulses, in conjunction with the distribution wiring shown in figures 1-3, applying the pulses to each of the pulses to the target arrangements or cathodes of the magnetron. The power supply outputs are distributed to the switch then the cathodes using the time modulation, which allow the output to be "pulsed DC signals, or DC generators with intermediate generator output". (c.7, l.15-21) The time modulators are capable of generating a plasma train of voltages, through the time modulation controller.

At the time of invention, it would have been obvious to one of ordinary skill in the art to apply the voltage of one generator, or power source, to multiple cathodes at one time, as in HAAG et al, instead of applying voltage to each cathode individually, as in BOYS, because both allow for similar control over the plasma itself resulting in the ability to control the distribution of plasma deposition over the surface of the workpiece. (BOYS c. 4, l. 36-39, HAAG c. 7, l. 28-35) The orientation of HAAG et al where the cathodes can be operated independently of each other or together also allows for more flexibility than BOYS.

Regarding the new limitation of claim 45, it would be obvious to manipulate the modulation of the magnetron via switch and or power supply in order to increase the generation of metal ions or flux in order to increase deposition time because faster deposition would allow for faster manufacturing capabilities to name one advantage. However, KOUZNETSOV also teaches in column 4, lines 17-43 for the change in pulse time (or rise and or fall time) allows for faster increases in power, increasing the rate of ionization of the gas or the generation of metal ions. It would have been obvious to one of ordinary skill in the art to utilize the pulsed magnetron operation of KOUZNETSOV on the apparatus of BOYS and HAAG et al because KOUZNETSOV explicitly teaches maximizes the methods of use to increase ionization and in turn deposition.

12. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over BOYS (US 4,761,218), HAAG et al (US 6,093,293) and KOUZNETSOV (US 6,296,742) in view of BERGMAN (US 4,132,961).

BOYS, HAAG et al and KOUZNETSOV teach a sputtering source comprising multiple anode segments, as identified in the rejection of claim 1, and gas feed shown independent of the anode, which feeds to the sputtering chamber, as identified in the rejection of claim 18 above.

BOYS, HAAG et al and KOUZNETSOV fail to teach these two pieces integrally constructed to form a single gas injector.

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Regarding claim 4, in conjunction with the previous rejection of claim 1,

BERGMAN teaches a flowing gas laser which utilizes a wire anode gas injector
to feed gas into the discharge chamber, as stated in the abstract.

At the time of the invention, it would have been obvious to one of ordinary skill in the art to combine the gas valve and anode of BOYS, HAAG et al and KOUZNETSOV into a single integral anode gas injector as in BERGMAN because it is obvious to make what is separate an integral piece (MPEP 2144.04). This assimilation of pieces allows for the gas to be ignited creating plasma just above the surface of the anode, still allowing for the same operating conditions as that created in the reference HAAG et al.

13. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over BOYS (US 4,761,218), HAAG et al (US 6,093,293) and KOUZNETSOV (US 6,296,742) in view of ROGERS, JR. et al (US 5,135,554).

BOYS, HAAG et al and KOUZNETSOV teach adjacent cathode and anode segments. BOYS and HAAG et al also teach the electrical manipulation of target arrangements, which function in the sputtering apparatus as cathodes.

Regarding claim 6, in conjunction with the previous rejections of claim 1, ROGERS, JR. et al teaches an apparatus for use of continuous sputter coating, where the sputtering units 48, 50 and 52 "may include the same target material, or alternatively, the sputtering units may include a different target material".

Sputtering units 48, 50 and 52 function as separate cathode segments as in the instant application.

At the time of invention, it would have been obvious to one of ordinary skill in the art to apply different target materials as in ROGERS, JR et al to the target arrangements of BOYS, HAAG et al and KOUZNETSOV because, as stated in ROGERS, JR et al, the diversification of materials "provides for the sequential application of layers of different materials... in a single process". (c. 4, l. 54-55) This allows for easier manufacture, a consistent and long standing goal in the industry.

14. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over BOYS (US 4,761,218), HAAG et al (US 6,093,293) and KOUZNETSOV (US 6,296,742) in view of SIECK et al (US 5,616,225).

HAAG et al teaches the cathode segments situated adjacent to each other and also the anode segments.

HAAG et al fails to teach the situation of the cathode pieces within a hollow cathode.

Regarding claim 7, in conjunction with the previous rejection of claim 1, SIECK et al teaches the use of multiple anodes in a magnetron for improving the uniformity

of the plasma which organizes the cathodes within a hollow magnetron cathode tube, as is shown in figure 4 and discussed in the abstract.

At the time of invention, it would have been obvious to one of ordinary skill art to form the cathode segments of BOYS, HAAG et al and KOUZNETSOV in the hollow cathode arrangement of SIECK et al because the use of this layout allows for "the uniformity of the rate of deposition across the substrate [to be] improved", as stated in the abstract of SIECK et al. Therefore, through improved characteristics, the combination of the anode and cathode segments of BOYS and HAAG in the organization of SIECK et al is obvious.

15. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over BOYS (US 4,761,218), HAAG et al (US 6,093,293) and KOUZNETSOV (US 6,296,742) in view of HOFFMAN, JR et al (PG PUB US 2002/0157964).

BOYS, HAAG et al and KOUZNETSOV teach all the limitations of claim 1.

BOYS, HAAG et al and KOUZNETSOV fail to teach the use of any transistors, including insulated gate bipolar transistor (IGBT).

HOFFMAN, JR et al teaches a method and apparatus for electrolytic cleaning comprising the use of an insulated gate bipolar transistor (IGBP) to "convert the DC output into AC through very fast on/off switching", as stated in paragraph 44.

At the time of invention, one of ordinary skill in the art would have been motivated to use a IGBP as disclosed in HOFFMAN, JR et al for the control of electronic pulses, in the sputtering device disclosed in BOYS, HAAG et al and KOUZNETSOV because the use of an IGBP is well known in pulsed power devices, as it is highly efficient in pulsed, quickly switching electronic flows.

16. Claims 17 and 46 are rejected under 35 U.S.C. 103(a) as being unpatentable over BOYS (US 4,761,218), HAAG et al (US 6,093,293) and KOUZNETSOV (US 6,296,742) in view of GLOCKER et al(PG PUB US 2001/0050225).

BOYS, HAAG et all and KOUZNETSOV teach all the limitations of claims 1, 16 and 45, including the use of a magnetic field to control the plasma allocation.

BOYS, HAAG et al and KOUZNETSOV fail to teach the generation of an unbalanced magnetic field.

GLOCKER et al teaches an apparatus for ion bombardment of a substrate comprising unbalance magnetic fields. In paragraph 31, GLOCKER et al teaches "a first embodiment 50 of an unbalanced cylindrical magnetron", as shown in figure 4.

At the time of the invention, it would be obvious to one of ordinary skill in the art to use the magnetron apparatus as disclosed in BOYS, HAAG et al and KOUZNETSOV to generate the unbalanced field as disclosed in GLOCKER et al

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because as GLOCKER et al discloses in paragraph 9, the layout of the plasma profile allows for "a consistent and predictable coating on substrates". It is obvious that consistency allows for successful and predictable manufacture, a goal of the any manufacturing process.

17. Claims 19 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over BOYS (US 4,761,218), HAAG et al (US 6,093,293) and KOUZNETSOV (US 6,296,742) in view of SOLTAN (US 3,609,658).

BOYS, HAAG et al and KOUZNETSOV teach all the limitations of claim 1, including the addition of gases (as stated in the rejection of claim 18) into the chamber through valves.

BOYS, HAAG et all and KOUZNETSOV fail to teach the injection of excited and metastable atoms into the sputtering chamber.

Regarding claims 19 and 20, SOLTAN teaches a plasma display device which inserts a "flux of electrons, ions, and metastable atoms to flow through the display matrix 9". (c. 3, l. 65-68) The ions are analogous to the excited atoms of claim 19.

At the time of invention, it would have been obvious to one of ordinary skill in the art to combine the addition of ions and metastable atoms, as disclosed in SOLTAN, into the chamber and dispersion apparatus of BOYS, HAAG et al and

KOUZNETSOV because SOLTAN suggests in c. 3, I. 72 - c. 4, I.3, that the addition of these atoms lowers the firing potential (or ignition energy) causing the "cells or sites to be substantially uniform". This uniformity in the plasma display device would be valuable to a segmented cathode, as each cathode functions as a cell set, which would allow for uniform ignition of plasma over all the cathode cells.

18. Claim 21 is rejected under 35 U.S.C. 103(a) as being unpatentable over BOYS (US 4,761,218), HAAG et al (US 6,093,293) and KOUZNETSOV (US 6,296,742) in view of RHODES (US 5,410,425).

BOYS, HAAG et al and KOUZNETSOV teach all the limitations of claim 1.

BOYS, HAAG et all and KOUZNETSOV fail to teach the use of a pre-ionizing electrode in the chamber.

RHODES teaches a magnetron cathode comprising the use of a pre-ionization voltage pulse. In column 3, lines 46-50, RHODES states, "in operation, a pre-ionization voltage pulse from source 32 is applied across cathode 22 and anode 24... conducting plasma". This pre-ionization voltage functions as a pre-ionization electrode would in the plasma.

At the time of invention, one of ordinary skill in the art would be motivated to use the pre-ionization voltage of RHODES in the magnetron cathode of BOYS,

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HAAG et al and KOUZNETSOV because RHODES et al teaches the preionization operation functions to "guarantee that the current pulses on each side of the cell are well synchronized", which would be key when trying to consistently ionize over several cells, or cathode segments as in the instant application.

Response to Arguments

19. Applicant's arguments filed October 9, 2009 have been fully considered but they are not persuasive.

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a. Applicant argues the previously applied references fail to teach the new limitations added to claims 1 and 45. The addition of KOUZNETSOV teaches the newly added claims as necessitated by the amendment.

- b. Applicant argues at the bottom of page 8 that the generator of HAAG does not generate the claimed train of voltage pulses and the "time modulated control signals [of HAAG] are not changing the output voltage waveform of any particular power supply when it is generating the plasma".
 - i. Firstly, as stated in the remarks, HAAG et al does teach the generation of the time dependent signal, therefore the time period of pulsation can be controlled which, even in the newest amendment would be covered by the claim. Moreover, the claim does not require the manipulation of the output voltage waveform explicitly. Finally, figure 1 does show the connection of the generators and in turn the modulators in figure 1 to the cathode magnetron sections.
- c. At the top of page 9, applicant argues HAAG et al is incapable of controlling the shape of the pulses.
 - ii. It is unclear where the shape of the pulse is a claimed aspect of the invention. Furthermore, the newest limitation is now addressed via the application of KOUZNETSOV.
- d. On page 9, the applicant argues the claimed switch is now shown in the prior art references applied by pointing out how HAAG operates and stating the claimed switch doesn't operate that way.

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iii. It isn't clear to the examiner how the connectivity of HAAG does not operate the same way as they switch is incorporated in the generator and in turn is controlled by the generator as functioning with the power supply. The claimed switch does not require the method of operation to be the same as this is an apparatus claim. Furthermore, the structure is similar in operation. As stated in the remarks, the configuration of the instant application simplifies the structure and reduces cost. It is unclear how the control of the switch and power supply in the structure of HAAG would not also reduce costs and simplify production by the containment of the process and modulation.

Conclusion

20. Any inquiry concerning this communication or earlier communications from the examiner should be directed to KOURTNEY R. SALZMAN whose telephone number is (571)270-5117. The examiner can normally be reached on Monday to Thursday 6:30AM-5PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nam Nguyen can be reached on (571) 272-1342. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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/Nam X Nguyen/ Supervisory Patent Examiner, Art Unit 1753

krs 12/17/2009